

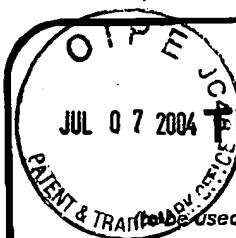
PTO/SB21 (08-00)

Please type a plus sign (+) inside this box

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.


**TRANSMITTAL
FORM**

(This form is used for all correspondence after initial filing.)

Application Number **10/762,807**Filing Date **January 21, 2004**First Named Inventor **Amitay Levi**Group Art Unit **Not yet assigned**Examiner Name **Not yet assigned**

Total Number of Pages In This Submission

Attorney Docket Number **2102397-992432****ENCLOSURES (check all that apply)**

Fee Transmittal Form
 Fee Attached
 Amendment/Reply
 After Final
 Affidavits/declaration(s)
 Extension of Time Request
 Express Abandonment Request
 Information Disclosure Statement with Form 1449
 Certified Copy of Priority Document(s)
 Response to Missing Parts/ Incomplete Application
 Response to Missing Parts under 37 CFR 1.52 or 1.53

Assignment Papers (for an Application)
 Drawing(s)
 Licensing-related Papers
 Petition to Convert to a Provisional Application
 Power of Attorney, Revocation Change of Correspondence Address
 Terminal Disclaimer
 Request for Refund
 CD, Number of CD(s) _____

After Allowance Communication to Group
 Appeal Communication to Board of Appeals and Interferences
 Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
 Proprietary Information
 Status Letter
 Additional Enclosure(s) (please identify below):
 Postcard

Remarks

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or individual name	Ronald L. Yin (Reg. No. 27,607) Gray, Cary Ware & Freidenrich LLP
-------------------------	--

Signature	
-----------	--

Date	March 23, 2004
------	----------------

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: March 23, 2004

Typed or printed name	Susan Pingue	Date	March 23, 2004
Signature			



GRAYCARY. TECHNOLOGY'S LEGAL EDGE®

2000 University Avenue
East Palo Alto, CA 94303-2248
www.graycary.com
Direct Dial] 650-833-2436
Fax] 650-833-2001

IFW

FAX TRANSMISSION COVER SHEET

July 7, 2004

To:

Ms. Inman
U.S. Patent and Trademark Office
Washington D.C.

Telephone:

Fax Number:

(703) 305-9822

From: Ronald L. Yin
Reg. No. 27,607
Tel: 650-833-2437

Client-Matter Number: 2102397-992432

Re: U.S. Serial No.: 10/762,807

Pages: - 16 - (including this form) Originals: will be mailed will not be mailed

If there is a problem with this transmission, please call (650) 833-2265

Message:

Transmitted by Fax on: July 7, 2004
Attorney Docket No. 2102397-992432
U.S. Serial No.: 10/762,807
Filing Date: January 21, 2004
Title: Method of CMP Planarizing Wafer Topolog

Enclosed is:

1. A true and accurate copy of the Information Disclosure Statement and Form 1449 with cited article, filed on March 23, 2004.

Ronald Yin

RECEIVED
O I P E / J C W S
JUL 20 2004

Certificate of Transmission by Facsimile

I hereby certify that this correspondence is being transmitted by facsimile to Fax No. (703) 305-9822 to: Ms. Inman, U.S. Patent and Trademark Office, Washington D.C. on: July 7, 2004.

Rosa A. Caviedes

Rosa A. Caviedes

CONFIDENTIALITY NOTICE

This communication is ONLY for the person named above. Unless otherwise indicated, it contains information that is confidential, privileged or exempt from disclosure under applicable law. If you are not the person named above, or responsible for delivering it to that person, be aware that disclosure, copying, distribution or use of this communication is strictly PROHIBITED. If you have received it in error, or are uncertain as to its proper handling, please immediately notify us by collect telephone and mail the original to us at the above address. Thank you.

(Form Rev. 6/5/00)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Mailing Date: March 23, 2004

U.S. Serial No.: 10/762,807

Filing Date: January 21, 2004

Title: Method of CMP Planarizing Wafer Topology

Enclosed are:

- 1) Transmittal Form;
- 2) Information Disclosure Statement and Form 1449
and cited Article
- 3) Postcard

Silicon Storage 2102397-992432 (RLY-SCP-PA)



Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Mailing Date: March 23, 2004

U.S. Serial No.: 10/762,807

Filing Date: January 21, 2004

Title: Method of CMP Planarizing Wafer Topolog

Enclosed are:

- 1) Transmittal Form;
- 2) Information Disclosure Statement and Form 1449
and cited Article
- 3) Postcard

Silicon Storage 2102397-992432 (RLY-SCP-PA)



**INFORMATION DISCLOSURE
STATEMENT**

Applicants:	Levi, et al.
Application No.	10/762,807
Filed:	January 21, 2004
For:	Method of CMP Planarizing Wafer Topolog
Group Art Unit:	Not yet assigned
Examiner:	Not yet assigned
Attorney Docket No.:	2102397-992432

Commissioner of Patents and Trademarks
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. § 1.56(a) and 37 C.F.R. § 1.97, Applicant(s) hereby make of record the references listed on the accompanying Form PTO-1449 for consideration by the Examiner in connection with the examination of the above-identified patent application.

This Information Disclosure Statement:

- (a) accompanies a new patent application submitted herewith.
- (b) is filed within three (3) months of the Filing Date or before the mailing date of a First Office Action on the merits; OR
- (c) after the period defined in (b) but before the mailing date of a Final Rejection or Notice of Allowance, OR
- (d) is filed after the first Office Action and more than three months after the application's filing date or PCT national stage date of entry filing but, as far as is known to the undersigned prior to the mailing date of either a final rejection or a notice of allowance, and is accompanied by either the fee (\$180) set forth in 37 CFR § 1.17(p) or a certification as specified in 37 CFR § 1.97(e), as checked below OR
- (d) is filed after the mailing date of either a final rejection or a notice of allowance, and the issue fee has not been paid, and is accompanied by the requisite petition fee (\$130) set forth in 37 CFR § 1.17(l)(1) and a certification as specified in 37 CFR § 1.97(e), as checked below. This document is to be considered as a petition requesting consideration of the information disclosure statement.

As required under § 1.97(e), Applicants, through the undersigned, hereby state either that [check the appropriate space]:

- (f) Each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of the Information Disclosure Statement; or
- (g) No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

It is respectfully requested that each of the references shown on the attached Form PTO-1449 be made of record in this application. Copies of the references are enclosed.

The Commissioner is authorized to charge any deficiencies and credit any overpayment of fees to our Deposit Account No. 07-1896

Respectfully submitted,

Date: March 23, 2004

GRAY CARY WARE & FREIDENRICH LLP

By: Ronald L. Yin
Reg. No. Ronald L. Yin (Reg. No. 27,607)
Attorneys for Applicant(s)
2000 University Avenue
East Palo Alto, CA 94303-2248
650-833-2437

Customer Number or Bar Code Label

26379

(Insert Customer No. or Attach bar code label here)

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as FIRST CLASS MAIL in an envelope addressed to: Commissioner of Patents & Trademarks, P.O. Box 1450, Alexandria, VA 22313-1450.

March 23, 2004

Date

Ronald L. Yin

Signature

ATTORNEY DOCKET NO. 2102397-992432

Form PTO-1449 (modified 2/91) INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)	U.S. DEPT. OF COMMERCE Patent and Trademark Office	Attorney Docket Number: 2102397-992432	Serial Number: 10/762,807
		Applicants: Levi, et al.	
		Filing date: January 21, 2004	Group art unit: Not yet assigned

U.S. PATENT DOCUMENTS

Examiner Initial	Patent number	Date	Name	Class	Sub-class	Filing date if appropriate
	6,541,324	04-01-03	Wang			
	5,928,960	07-27-99	Greco, et al.			
	6,498,075	12-24-02	Fujimoto, et al.			

FOREIGN PATENT DOCUMENTS

	Document number	Date	Country	Class	Sub-class	Translation YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

"Using Smart Dummy Fill And Selective Reverse Etch Back for Pattern Density Equalization," Brian Lee, et al., published in CMP-MIC Conference, pp. 1-9, March 2000

Examiner:	Date Considered:
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP '609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.	